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Dorris Stith Cole  
Name Dorris Stith Cole  
Signature  
October 19, 2006  
Date of Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Smith, et al.

Filed: April 11, 2006

Serial No.: 10/575,559

National Phase Application of: PCT/GB2004/004290

International Filing Date: October 7, 2004

For: Protective Helmet

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicant wishes to make of record the following reference under the provisions of 37 CFR §1.97 providing cited references.

US 5 517 691 A  
FR 2 750 299 A  
US 4 829 599 A  
JP 11 124719 A

It is believed that no fee is due. However, if that is incorrect, the Director is authorized to charge Deposit Account No. 08-2442.

Respectfully submitted,

By: David L. Principe  
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Date: October 19, 2006

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |   |    |   | Application Number     | 10/575,559       |
|  |   |    |   | Filing Date            | April 11, 2006   |
|  |   |    |   | First Named Inventor   | Smith, et. al.   |
|  |   |    |   | Art Unit               |                  |
|  |   |    |   | Examiner Name          | Lamont M. Hunter |
| Sheet  | 1 | of | 1 | Attorney Docket Number | 018872.00168     |

## **U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
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|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    |                       | US-5 517 691                             | 05-21-1996                     | Blake, Bruce H.                                    | 1,2   |
|                    |                       | US-4 839 599                             | 05-16-1989                     | Giorgio, Hugo et al.                               | 1,2   |
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## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
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|                    |                       | FR 2 750 299  | 01-02-1998                     | Suif, Fernand Albert                               | 1,2   |                |
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| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.